SETUP REQUEST

Clearing Account Setup Request Form

To be completed Clearing Participant for the addition of new clearing accounts.

SECTION 1: ACCOUNT INFORMATION		
1.1 Clearing Participant Name	Entity Legal Name	
1.2 Clearing Participant Account Number	E.g 2700	
1.3 Utilise Existing Account Group?	Yes ■ No ☐	
1.4 Existing Account Group Number & Name	E.g 2711	
1.5 Create New Account Group	☐	**Number allocated (ECCP Use Only)**
1.6 Group Name		

SECTION 2: Clearing Participant Account Types		
2.1 Position Accounts	Cash Equities	Equity Derivatives
House Position Account *	0	0
Net Omnibus Client Position Account	0	0
Gross Omnibus Client Position Account	0	0
Individual Client Position Account	0	0
Net Omnibus Indirect Client Position Account	0	0
Gross Omnibus Indirect Client Position Account	0	0
2.2 Position Account Netting	☐	

* This account will always have position netting applied

SECTION 3: MARGIN AND COLLATERAL SETUP	
Margin Setup Options for new Account Group	
3.1 New Collateral Account Opening Form	☐ Select to confirm Attached Link to document for completion
3.2 Agreement for new Cash Collateral Account	☐ Select to confirm Attached Link to document for completion
3.3 Direct Debit for New Cash Collateral Account	☐ Select to confirm Attached Link to document for completion
3.4 Please confirm Direct Debit Payment Bank	
EUR Payment Bank name	

USD Payment Bank name	
GBP Payment Bank name	
CHF Payment Bank name	

SIGN AND DATE	
Signature	Date
Title	